

% R D U G R I 5 H J H Q W V 0

9DUQHU +DOO +ROGUHJH 6WUHHW /LQFROG
DQG E\ 9LUWXDO &RQIHUHQFLQJ LQ DFFRUGDQFH
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9LFH 3UHVLGHQW IRU %XVLQHVV)LQDQFH DQG &)2
8QLYHUVLW\ RI 1HEUDVND
+ROGUHJH 6WUHHW
/LQFROQ 1HEUDVND

:H DSSUHFLDWH \R FORVIS, LLP FDLR QXRI VHUYLFH GSDUWLSOH UD VHG WR FRQ
DUUDQJHPHQWV RI RXU HQJDJH ZHHQW) 259,8 KLAB FROXUDFQ E MW' DQG W
5HJHQWV RI WKH 8QLYHUVLW\ RI 1HEUDVND D SXEOLF ERG\

Engagement Fees

7KH IHH IRU RXU VHUYLFHV ZLOO EIU IRU VHZKYLFFHV LQ BGR GRHWKIHU SURIH
DV ZHOO DV DQ DGPLQLVWUDWLRK HF RYHUR IF HLUWDLQ SWHUFKORV\ DQG D
DVVRFLDWHG ZLWK RXU VHUYLFHV \$Q\ DGGLWLRQDO LQFLGHQWDO RU
ZULWLQJ E\ WKH 8QLYHUVLW\ 5\$, 6RZLQJLWKEIPW W DGRXLFHW H 2UHFHLSWV
DV UHTXHVWHG E\ WKH 8QLYHUVLW\ WR VXSSRUW UHLPEXUVHPHQW RI D
LV H[SHFWHG WR FRPSO\ ZLWK DORFESSOHFDLQHF SROLFLH EXW QRW OLF
ZLWKLQ WKH 8QLYHUVLW\ RI 1HEUDVND 7UDYHO 3ROLF\

2XU SULFLQJ IRU WKLV HQJDJHPPHQW DQG RXU IHH VWUXFWXUH DUH ED
EH SDLG SURPSWO\ 3D\PHQW RI RXU LQYRLFHV LV GXH IRUW\ ILYH
E\ \RX LQ DFFRUGDQFH ZLWK WR SW 6 W DPH QRW SHFUD:VNZL 00 LVVXH SURJU
WKH FRXUVH RI RXU HQJDJHPPHQW

2XU WLPHO\ FRPSOHWLRQ RI KHUHYRQHGHSDG GWKRQI HWHW DVVLVWDQFH
DFFXPXODWLQJ LQIRUPDWLRQ DQG UHVSROGLQJ WR RXU LQTXLULHV
LQIRUPDWLRQ RU WKH UHVSROVH VORLQUHV V XQWLPHO DGLLOW QR 0 D Q U LQ
GHDGOLQHV

Assistance with New Standards

\$VVLVWDQFH DQG DGGLWLRQDO WLPH DV D UHVXOW RI WKH DGRSWLRQ
ZLWKLQ RXU VWDQGDUG HQJDJHPPHQW IHHV 7KHVH IHHV ZLOO EH EDVH
WKH OHYHO RDQG SLRWFHGHU HV UHTXLUHG

Governmental Accounting Standards Board Statement No. 96, Subscription-Based Information
Technology Arrangements LV HIIHFWLYH IRU ILVFDO \HDUV EHJLQQLQJ DIWHU ->
HQFRXUDJHG

6WDWHPHQW 1R DGGUHVHV WKH DFDXQGLWR IRORWGHFRRSXWLQJ
WKLV 6WDWHPHQW D JRYHUQPHQW UHSRUWV D VXEVFULSWLRQ DVVHW
WKH GHILQLWLRQ RI D VXEVFULSWLRQ EDVHG JHQIRQWDW 1/2RQSDHGH WR G
LQIRUPDWLRQ DERXW WKH DUUDLWRH WKH DGRSWLRQVEV SURXLGLQJ V
LQFOXGH EXW DUH QRW OLPLWHG WR

- x \$VVHVVLQJ \RXU UHGLQJZLWK DVVHYDOXDWLRQ RI \RXU
 - o &XUUHQW FRQWUROV DQG SROLFLHV
 - o &XUUHQW LQWHUQDO UHVRXUFHV DQG V\WHP FDSDELOLWLH
- x \$VVLVWLQJ ZLWK FKDGRSWUHWDXWHPHQWR 1R LQFOXGLQJ

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8 QLYHUVLW\ 2I 1HEUDV
2FWREHU
3DJH

Contract Agreement

3OHDVH VLJQ DQG UHWXUQ WKLVU FIDRQVLRZFOH GWR HQG LRFDWDQG DJUH
DUUDQJHPHQWU YLW FRX UQFOX WILQJ RUXU SJHQ SIFLFLWLHV

\$FNQRZOHGJHG DQG DJUH HG WR DV LW UHODVW W Service W R W LUH FR
Terms and Conditions Addendum RQ EHKDOI RI WKH 8QLYHUVLW\

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&KULV .DERXUHN 9LFH 3UHVLGHQW DQG &KLHI)LQDQFLDO 2IILFHU
FNDERXUHN#QHEUDVND HGX

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&DURO .LUFKQHU 9LFH &KDQFHOOIU IRU %XVLQHVV DQG)LQDQFH
FNLUFKQHU#XQRPKD HGX

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2FWREHU
3DJH

FRQVLGHUHG PDWHULDO LIDWHU €Đ0

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3DJH

OLPLWHG SURFHGXUHV ZLOO FRQVLVW RI LQTXLULHV RI F
RI SUHSDULQJ WKH LQIRUPDWLRQ DQG FRPSDULQJ WKH F
PDQDJHPHQW UHVSQRVHV WR RXU LQTXLULHV WKH E
RWKHU NQRZOHGJH ZH REWDLQHGXULQJ RXU DXGLW RI

:H ZLOO QRW H[SUHVV DQ RSLQLRQ RU SURYLGH DQ\ D
EHFDXVH WKH OLPLWHG SURFHGXUHV GR QRW SURYLGH
H[SUHVV DQ RSLQLRQ RU DVVXUDQFH

Written
Confirmations
Required

\$V SDUW RI RXU DXGLW SURFHVV ZH QDQJPHQW DQG LI
WKRWH FKDUJHG ZLWKHQYRQDUPHWZRODWFNQRZOHGJL
UHVSQRVLELOLWLWFRQWODQWGDQGWRQILUPLQJ

x 7KH DYDLODELOLW\ RI WKLW LQIRUPDWLRQ
x &HUWDLQ UHSUHVHQWDWLRQV PDGH GXULQJ WKH DXG
x 7KH HIIHFWV RI DQ\ XQFRVUHFWDQJ RHWXODWLRPHQW F
IUDXG DJJUHJDWHG E\ XV GXULQJ WKH FXUUHQW HQJD
ODWHVW SHULRG SUHVERWIKGGLYLRDWDQDQ LQ WK
WR WKH ILQDQFLDO VWDWHPHQWV WDNHQ DV D ZKROH

8QLYHUVLW\ 2I 1HEUDV
2FWREHU
3DJH

Scope of Service – Attestation Examination Services

:H ZLOO H[DPLQH WKH ZULWV&RQ SRUVDWMLRQRUDEERXOMFW%URDGFDFVWLQ.
)LQDQFLDO 5HSRUW \$)5 6FKH)G@OQFLIRQ @RSSRUGHFDGH E\ WKH PDQDJ
)0 D SXEOLF WHOHFRPPXQLFDWLRQV HQWLW\ RSHUDWHG E\ WKH 8QLYH
\HDU HQGHG -XQH

7KH REMHFWLYH RI RYKHHD\$U@DWLRQR RIVDQ RSLQLRQ LQ D ZULWWHQ UH
PDWWHU LV LQ DFFRUGDQFH ZLWK RU EDVHG RQ WKH FULWHULD LQ D

7KH FULWHULD WR EH XVH@FRQVWVW R)L@B@FV)DVHSRUWLQJ *XLGHOL

\$P\ 6KUHFN LV UHVSQRVLEOH IRUPHQSDJ@VLD@WVWKHL]HQJDWKH VLIJLQ
UHSRUWV

2XU UH R ADLR
~~XXSTAGRDX\@AG4E DP 3T 0 F•p E f5@~~

8QLYHUVLW\ 2I 1HEUDV
2FWREHU
3DJH

NQRZQ HYHQWV VXEVHTXHQW WR WKH SHULRG RU SRLQ
EHLQJ UHSRUWHG RQ WKDW DZOR XIOIG FWD YHQ DW R B W X E M H F V
D V V H U W L R Q

0DQDJHPPHQW LV UHVSQRVLEOH IRU HVWDEOLVKLQJ DQG
FRQWURO RYHU ILQDQFLDO UHSRUWLQJ DQG VHWLQJ
PDLQWDLQLQJ D FXOWXUH HW KLFOH V W W D Q G D K G V D Q G H
DSSURSULDWH FRQWUROV WR SUHYHQW GHWHU DQG
0DQDJHPPHQW LV DOVR UHVSQRVLEOH IRU LGHQWLI\LQJ D
ODZV DQG UHJXODWLRQV DSSOLFDEOH WR \RXU DFWLY
PDLQWDLQLQJ Q L Q W H B W D O FRQWURO RYHU FRPSOLDQFH

Written
Representations

FORVIS, LLP Terms and Conditions Addendum

GENERAL

Overview. 7KLV DGGHQGX FORVIS/LLP EHW DQG DUG
WHUPV DQG FRQGLWLRQV 37HUPV DQG &RQGLWLRQV' DSSOLFDEOH WR
2XU SURYLVLRLQ WIKMH&UJLFH W WRRX' 7KH 7HUPV DQG
&RQGLWLRQV DUH D SDUW RI WKH FRQWUDFW EHWZHHQ <RX DQG)259,6
//3)RU WKH SXUSRVHV RI WKH 7HUPV DQG &RQGLWLRQV DQ\
UHIHUHQFH WR 3)LUP ' 3:H ' 38V ' RU 32XU' LV D UHIHUHQFH WR
)259,6 //3 3)259,6' DQG DQ UHIHUHQFH WR 3<RX' 3<RXU'
RU 38QLYHUVLW\ ' LWIDHUSDHWHQFH SDRJWLHV WKDW KDYH
HQJDJHG 8V WR SURYLGH VHUJLFHV DQG WKH SDUW\ RU SDUWLHV
XOWLPDWHO\ UHVSQVLEOH IRU SD\PHQW RI 2XU IHHV DQG FRVWV

BILLING, PAYMENT, & TERMINATION

Billing and Payment Terms. :H ZLOO ELOO <RX IRU 2XU
SURIHVVRQRDO IHHVODQG FRQWUDFW FRQWUDFW 8QOHVV
RWKHUZLVH SURYLGHG LQ 2XU FRQWUDFW SD\PHQW LV GXH XSRQ
UHFHLSW RI 2XU ELOOLQJ VWDWHPHQW ,QWHUHVW ZLOO EH FKDUJHG RQ DQ\
XQSDLG EDODQFH DIWHU GDT`HÀ WKH RI WH\FHQW <PH%Pa
OUHVW0@ ,H0G

FRQVHTXHQWHLDBUSXGPEWODU\ GDPDJHV LQFOXGLQJ EXW
QRW OLPLWHG WRRORUHWISQRHLWQWGBVXSWLRQ ORVV RI
XVH GDPDJH WR JRBVZBQORUWUHSOWVV RI ZKHWKHU
<RX ZHUH DGYLVHGVRRELOKWH\ SR VXFK GDPDJHV
UHJDUGOHVV RI ZKHWKHU VXFK GDPDJHV ZHUH UHDVRQDEO\
IRUHVHHDEOH DQG UHJDUGOHVV RI ZKHWKHU VXFK GDPDJHV DULVH
XQGHU D WKHRU\ RI FRQWUDFW WRUW VWULFW OLDELWLW\ RU RWKHUZZLVH

Severability. ,Q WKH HYHQW WKDW DQ\ WHUP RU SURYLVLRLQ RI WKLV
DJUHHPHQW VKDOO EH KHOG WR EH LQYDOLG YRLG RU XQHQIRUFHDEOH
WKHQ WKH UHPDLQHGHQWRVKDOO QBMHEIP DIIHFWHG DQG
HDFK VXFK WHUP DQG SURYLVLRLQ RI WKLV DJUHHPHQW VKDOO EH YDOLG
DQG HQIRUFHDEOH WR WKH IXOOHVW H\WHQW SHUPLWWHG E\ ODZ

H[E• AVZT(A\$€ p • 8p €Assignment p , Q
PNEZUWA•H @V 2'B" BÄäi(AeHDLvga# "FÖst@#äiv@#ä sp @#äY@#G` p.€ 6mcqaQ

UHFRPPHQGLQJ DQ\ DFWLRQ WR <RX DQG GRHV QRW RZH <RX D
ILGXFLDU\ GXW\ ZLWK UHVSHFW WR DQ\ LQIRUPDWLRQ RU
FRPPXQLFDWLRQV UHJDUGLQJ PXQLFLSDO ILQDQFLDO SURGXFWV RU WKH
LVVXDQFH RI PXQLFLSDO RYHFXULXOG GLVFXVV VXFK
PDWWHV ZLWK LQWHUQDO RU H[WHUQDO DGYLVRUV DQG H[SHUWV <RX
GHP DSSURSULDWH EHIRUH DFWLQJ RQ DQ\ VXFK LQIRUPDWLRQ RU
PDWHULDO SURYLGHG E\)259,6

FORVIS Not a Fiduciary. ,Q SURYLGLQJ 2XU DWWHVW VHUFLFHV :H
DUH UHTXLUH G E\ ~~VDZLRQGRXWSODHU~~ DUGV WR PDLQWDLQ
RXU LQGSHHQGHQFH IURP <RX :H WDNH WKLW PDQGDWH YHU\
VHULRXVO\ DQG WKXV JXDUG DJDLQVW LPSHUPLVVLEOH UHODWLRQVKLSV
ZKLFK PD\ LPSDLU WKH YHU\ LQGSHHQGHQFH ZKLFK <RX DQG WKH
XVHUV RI 2XU UHSRUW UHTXLUH \$V VXFK <RX VKRXOG QRW SODFH XSRQ
8V VSHFLDO FRQILGHQFH WKDW LQ WKH SHUIRUPDQFH RI 2XU DWWHVW
VHUFLFHV :H ZLOO DFW VROHO\ LQ <RXU LQWHUHVW 7KHUHIRUH <RX
DFNQRZOHGJH DQG DJUHH :H DUH QRW LQ D ILGXFLDU\ UHODWLRQVKLS
ZLWK <RX DQG :H KDYH QR ILGXFLDU\ UHVSQVLELOLWLV WR <RX LQ WKH
SHUIRUPDQFH RI 2XU VHUFLFHV GHVFULEHG KHUHLQ

TECHNOLOGY

Electronic Sites. <RX DJUHH WR QRWLI\ 8V LI <RX GHVLUH WR SODFH
2XU UHSRUW V LQFOXGLQJ DQ\ UHSRUWV RQ <RXU ILQDQFLDO
VWDWPHPHQWV DORQJ ZLWK RWKHU LQIRUPDWLRQ VXFK DV D UHSRUW E\
PDQDJPHQW RU ~~ZLWK RYHFXULXOG~~ RQ RSHUDWLRQV
ILQDQFLDO VXPPDULHV RU KLJKOLJKWV ILQDQFLDO UDWLRV HWF RQ DQ
HOHFWURQLF VLWH <RX UHFRJQL]H WKDW :H KDYH QR UHVSQVLELOLWLV WR
UHVLHZ LQIRUPDWLRQ FRQWDLQH G LQ HOHFWURQLF VLWHV

Electronic Signatures and Counterparts. 7KLV FRQWUDFW DQG
RWKHU GRFXPHQWV ~~WR EH QWBYWKHG~~ FRQWUDFW PD\
EH H[HFHWHG LQ RQH RU PRUH FRQWUDFWV ZKLFK ZLOO EH
GHPHG WR EH DQ RULJLQDO FRS\ DQG DOO RI ZKLFK ZKHQ WDNHQ
WRJHWKHU ZLOO EH GHPHG WR FRQVWLWXWH RQH DQG WKH VDPH

8QLYHUVLW\ 2I 1H
2FWREHU
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Work Status Verification)259,6 DQG DQ\ RI LWV VXEFRQWUDFWV
VKDOO XVH D IHGHUDO LPPLJUDWLRQ YHULILFDWLRQ V\ VWHP WR GHWHUPLQH
WKH ZRUN HOLJLRELOLW\ HPSOR\XHV SK\VLFDQO\
SHUIRUPHQJ VHU\LFHV ZLWKLQ WKH 6WDWH RI 1HEUDVND SXUVXDQW WR
1HE 5HY 6WDW †† WR DV DPHQG HG

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&RPPLWWHH DV SURYLGHG%10 DZMG 6HFF
3ROLF\

5HYLHZ ; 5HYLHZ \$FW \$FWLRQ 'LVFXVVI

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%DUEDUD :HLW]
.DWK\ :LOPRW

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7KH IROORZLQJ 3UHVVLGHQWLDO 6HDUFK \$GYLVRU\ &RPPLWV
7LP &ODUH %RDUG RI 5HJHQWV 'LVWULFW /LQFROQ &
/HDK %DUUHHW 3UHVVLGHQW 1RUWKHDVW &RPPXQLW\ &R
&KULV ([WURP)DFXOW\ 6HQDWH 3UHVVLGHQW DQG 3URIHV
1HEUDVND DW .HQUH\
3DXO .HQQH\ %RDUG RI 5HJHQWV 'LVWULFW \$PKHUVW
.HOOL .RSRFLV)DFXOW\ 6HQDWH 3UHVVLGHQW DQG \$VVLV
RI \$UFKLWHFWXUDO (QJLQHULQJ DQG &RQVWUXFWLRQ
+DNLP /RWRUR 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG
6EHQFHV ODMRU 8QLYHUVLVW\ RI 1HEUDVND DW 2PDKD
6FRWW 0F3KHHWHUV \$J 3URGXFHU DQG 9LFH &KDLU 1HE
:LOOLDP 0HODQVRQ)DFXOW\ 6HQDWH 3UHVVLGHQW DQG \$
RI 1HEUDVND DW 2PDKD
+HDWK 0HOOR 3UHVVLGHQW DQG &(2 *UHDWHU 2PDKD &KD
-DQH 0LOOHU &KDLU (OHFW 8QLYHUVLVW\ RI 1HEUDVND)F
7HPR 0ROLQD 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG 3
\$GPLQVLWUDWLRQ ODMRU 8QLYHUVLVW\ RI 1HEUDVND DV
\$PDU 1DWDUDMDQ)DFXOW\ 6HQDWH 3UHVVLGHQW DQG 3U
1HEUDVND 0HGLFDO &HQWHU
(OLJDEHWK 2↑&RQQRU %RDUG RI 5HJHQWV 'LVWULFW 2
5RE 2WWH *HQHUDO &RXQVHO 86 3URSHUW\ ,QF /LQFR
3DXO 3HFKRXV 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG
RI 1HEUDVND /LQFROQ
5RE 6FKDIHU %RDUG RI 5HJHQWV 'LVWULFW %HDWULF

-LP 6FKHHU %RDUG RI 5HJHQWV 'LVWULFW 1RUIRON
.DWLH 6FKOXWLV 6WXGHQW %RG\ 3UHVLGHQW 5HJHQW D
OHGLFDO &HQWHU
-DFN 6WDUN %RDUG RI 5HJHQWV 'LVWULFW 2PDKD
-RKQ 6WLQQHU 3UHVLGHQW DQG &(2 5HWLUHG 9DOOH\
%DUEDUD :HLW] %RDUG RI 5HJHQWV 'LVWULFW 2PDKD
.DWK\ :LOPRW %RDUG RI 5HJHQWV 'LVWULFW %HDYHU

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6HFWLRQ RI WKH %\ODZ SURYLGHV %RDUG RI 5HJHQWV D Y
WKH SRVLWLRQ RI 3UHVLGHQW« WKH %RDUG VKDOO EH WK
DSSRLQW RQH RU PRUH SUHVLGHQWLDO VHDFK DGYLVRU\
IRU VXLWDEOH FDQGLGDWHV WR ILOO WKH SRVLWLRQ DQG
VHDFK FRPPLWWHH V WR VHUYH DV LWV FKDLU 7KH SUHV
EURDGO\ ZLWK WKH SULQLSFDO FRQVLWWXHQFLHV ZLWK ZK
UHVSRQVLELOLWLHV RI DQG SUHSDUH WKH TXDOLILFLDWLR
FRQVLVWHQW ZLWK WKH %\ODZ SURYLGHV FRPSVLWL
VHDFK FRPPLWWHH VKDOO EH GHWHUPLQH E\ WKH %RDU

5HJHQWV\ WKH %\ODZ SURYLGHV\ UHDFRQDEOH HIIRUW VKDOO EH
PHPEHUVKLS RI WKH SUHVLGHQWLDO DSSURVLGHV\ WKH %\ODZ SURYLGHV\ WKH
WKH 8QLYHUVLV\ 7KH %RDUG VKDOO FRQVLGHU WKH IR
PRUH SUHVLGHQWLDO VHDFK DGYLVRU\ FRPPLWWHHV D XQ
VWXGHQWV G 8QLYHUVLV\ RI 1HEUDVND)RXQGDWLRQ DQ
GHWHUPLQH WKH UHVSRQVLELOLWLHV IRU WKH SUHVLGHQW

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5HJHQWV 6FKHHU 6WDUN :HLW] DQG :LOPRW UHFRPPHQG
PHPEHUVKLS RI WKH 3UHVLGHQWLDO 6HDFK \$GYLVRU\ &RP

2FWREHU
9ROXPH

2PDKD 1HEUDVN
2FWREHU

7KH %RDUG RI 5HJHQWV RI WKH 8QLYHUVLW\ RI 1HEUDVND
LQ WKH :LJWRQ +HULWDJH &HQWHU DW WKH 8QLYHUVLW\ RI
2PDKD 1HEUDVND LQ D SXEOLF\ FRQYHQ
SXEOLF DQG KDYLQJ EHHQ SUHFHGHG E\ DGYDQFH SXEOLF
DWWDKFKG WR WKH PLQXWHV RI WKLV PHHWLQJ DV \$

,Q FRPSOLDQFH ZLWK WKH SURYLVLQRV RI 1HEUDVND 5HY 6
PHHWLQJ ZDV VHQW WR HDFK PHPEHU RI WKH %RDUG DW
9DUQHU +DOO ,Q DGGLWLRQ FRSLHV RI VXFK QRWLFH
2PDKD :RUOG +HUDOG 7KH 'DLO\ 1HEUDVNDQ WKH *DW
+XE DQG WKH /LQFROQ RIILFH RI WKH \$VVRFLDWHG 3U

5HJHQWV SUHVHQW
7LPRWK\ &ODUH &KDLU
3DXO .HQQH\
(OLJDEHWK 2↑&RQQRU
5RE 6FKDIHU 9LFH &KDLU
-LP 6FKHHU
-DFN 6WDUN
%DUEDUD :HLWJ MRLQHG DW D P
.DWK\ :LOPRW
7HPR 0ROLQD 8QLYHUVLW\ RI 1HEUDVND DW .HQUH\
3DXO 3HFKRXV 8QLYHUVLW\ RI 1HEUDVND /LQFROQ
.DWLH 6FKXOWL 8QLYHUVLW\ RI 1HEUDVND 0HGLFDO
+DNLP /RWRUR 8QLYHUVLW\ RI 1HEUDVND DW 2PDKD M

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&KDQF€OOR€ O 8QLYHUVLW\ RI 1HEUDVND 0HG bg€ O

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,W LV WKH LQWHQW RI WKH %RDUG RI 5HJHQWV WKDW
WR WKH IXOO %RDUG IRU DFWLRQ DV RSSRVHG WR RX
%XVLQHVV DQG)LQDQFH &RPPLWWHH 7KLV HOHYDWH
DGGLWLRQDO DQG DSSURSULDWH RYHUVLJKW RI WKH
WKDW SURMHFWV GR QRW PRYH IRUZDUG WR ,QWHUPH
WKH JURXQG' XQWLO DOO IXQGLQJ DQG FRPPLWPHQW

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H /RWRUR 0ROLQD
6WDUN :HLW] :LOPRW &ODUH .HQQH\ 2¶&RQRU DQ

0RWLRQ 0RYHG E\ .HQQH\ DQG VHFRQG HG E\ :LOPRW WR DS

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; , % :DLYHU RI 5HJHQWV 3ROLF\ UHODWLQJ WR SURM
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&KDLU &ODUH DVNHG WKDW WKH PLQXWHV UHIOHFW WKH

\$V VWDWHG GXULQJ WKH OHPRULDO 6WDGLXP GLVFXV
ZLOO RQO\ PRYH WR ,QWHUPHGLDWH 'HVLJQ 5HYLHZ Z
VHFUXHG

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 0ROLQD 3HFKRX
.HQQH\ 2↑&RQQRU 6FKDIHU 6FKHHU 6WDUN :HLW] :

ORWLRQ 0RYHG E\ .HQQH\ DQG VFRQGHG E\ 6FKHHU WR DS

; , % \$SSURYDO RI 3URJUDP 6WDWPHQW IRU WKH 6DGGOH
&25(%XLOGLQJ DW 810&

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 3HFKRXX 6FKXO
2↑&RQQRU 6FKDIHU 6FKHHU 6WDUN :HLW] :LOPRW &

ORWLRQ 0RYHG E\ .HQQH\ DQG VFRQGHG E\ 6FKHHU WR DS

; , % \$SSURYDO WR DFTXLUH UHDO ~~6SURSHUWDO~~ ~~GRFDWHZHDV~~
\$YHQXH LQ 2PDKD 1HEUDVND

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 6FKXOWLV /RWR
6FKDIHU 6FKHHU 6WDUN :HLW] :LOPRW &ODUH .HQQ

ORWLRQ 8QLYHUVLW\ RI 1HEUDVND DW 2PDKD
0RYHG E\ /RWRUR DQG VFRQGHG E\ 0ROLQD WR D

; , % \$SSURYDO RI DPHQGPHQWV WR WKH 3URSHUW\ 0DQDJ
0DQDJPHQW //& IRU WKH VWXGHQW UHVLGHQFH FRPSC
&RXUW DW 812

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H /RWRUR 0ROLQD
6WDUN :HLW] :LOPRW &ODUH .HQQH\ 2↑&RQQRU DQ

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; , & 5HVROXWLRQ DIILUPLQJ &RUH /HDGHUVKLS 3LOODUV

ORWLRQ 0RYHG E\ 6WDUN DQG VFRQGHG E\ 6FKHHU WR DF
+LJKHU (GXFDWLRQ \$FDGHPLF DQG 5HVHDUFK ([FHOO
SULRULWL]HV H[FHOHQFH LQ KLJKHU HGXFDFWLRQ DF
DFDGHPLF KHDOWK VFLHQFH FHQWHU DQG LWV LPSR
DQG WKH VWDWH

7KHUH ZDV GLVFXVVLQJ 6HFRQG ZLWKGUDZQ E\ 6FKHH

2FWREHU
9ROXPH

0RWLRQ 0RYHG E\ 6WDUN DQG VHFRQGHG E\ 0ROLQD WR DP
,QWHUFROOHJLDWH \$WKOHWLFV DQG \$FDGHPLF +HDOW
DSSUHFLDWHV LQWHUFROOHJLDWH DWKOHWLFV HVSHF
VFLHQFH FHQWUHV DQG PYSIRUW DQW GRRUV WR WKH 8Q

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 3HFKRXV 6FKXO
:LOPRW &ODUH .HQQH\ 2\&RQQRU 6FKDIHU 6FKHHU

0RWLRQ 0RYHG E\ /RWRUR DQG VHFRQGHG E\ :HLW] WR DP
WR 32QH 1HEUDVND' + XQGHUVWDQGV DQG DSSUHFLDW
8QLYHUVLV\ FRPPXQLW\ LQFOXGLQJ VWXGHQWV \$ELO
FROODERUDWLRQ DQG FRRSHUDWLRQ DPRQJ WKH IRXU
JUHDWHU WKDQ WKH VXP RI LWV SDUWV 0D[LPL]HV WK
ZLWK 1HEUDVNDQV²UXUDO DQG XUEDQ '

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 6FKXOWL V /RWR
:LOPRW &ODUH 2\&RQQRU 6FKHHU 6WDUN DQG :HLW
0RWLRQ FDUULHG

0RWLRQ 0RYHG E\ :LOPRW DQG VHFRQGHG E\ 3HFKRXV WR
/HDGHUVKLS 3LOODUV DV DPHQGHG

:+(5(\$6 WKH VHOHFWLRQ RI RXU QH[W SUHVLGHQW LV D
8QLYHUVLV\ RI 1HEUDVND DQG

:+(5(\$6 WKH %RDUG RI 5HJHQWV ZLVKHV WR DUWLFXOD
/HDGHUVKLS 3LOODUV' EDVHG RQ H[WHQVLYH RXWUHDF
ZKLFK VKRXOG VHUYH DV WKH IRXQGDWLRQ RQ ZKLFK V

12: 7+(5())25(%(,7 5(62/9WKDW WKH 3UHVLGHQWLDO 6H
&RPPLWWHH FRQVLGHU WKH IROORZLQJ &RUH /HDGHUV
3URILOH

3URYHQ /HDGHUVQVWUDWHG DELOLW\ WR OHDG DQG PDQ
ZRUN HIIHFWLYHO\ ZLWK WKH %RDUG RI 5HJHQWV EXL
FRPPLWPHQW WR LQWHJULW\ DQG HWKLFV

6WUDWHJLF DEKLQNHUWR DUWLFXODWH D YLVLRQ IRU W
1HEUDVND WKDW FDQ EH GHYHORSHG LQWR D VWUDWH

3ULRULWL]HV +LJKHU (GXFDWLRQ \$FDGHPLF DQG 6H
DSSUHFLDWHV DQG SULRULWL]HV H[FHOHQFH LQ KLJ
LPSRUWDQFH WR IDFXOW\ VWXGHQWV DQG WKHLU IDP

&RPPLWWHG WR 32QH 1HEUDVND' DQG DSSUHFLDWHV V
YRLFHV LQ WKH 8QLYHUVLV\ FRPPXQLW\ LQFOXGLQJ V
PRUH FROODERUDWLRQ DQG FRRSHUDWLRQ DPRQJ WKH

2FWREHU
9ROXPH

3ROLWLFDO ~~SEXPH~~ \ WR GHYHORS DQG PDLQWDLQ HIIH
WKH *RYHUQRU PHPEHUV RI WKH /HJLVODWXUH DQG R
&DSDEOH RI)XQGUDLVLQJ

2FWREHU
9ROXPH

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2FWREHU
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1RWLFHJHVEIKJLYHQ WKDW WKH %RDUG RI 5HJHQVW LRQ WK
D SXEOLFQ\ FRQYHQHG VHVVLROD WQ 7KUPGLQ VIKMREJWRC
&HQWHU DW WKH 8QLYHUVLW\ RI 1HEUDVND WOHZLFDD &HGW

\$Q DJHQGD RI VXEMHFW WR EH FRQVLGHUNG DW VDLG
DYDLODEOH IRU LQILSHFWLRQHLQRWISRU DWLRQ 6HFUHWDU\ F
+DOO +ROGUHJH 6WUHHW /LQFROQ 1HEUDVND DQG
PLQXWHV

\$FRS\ RI WKLV QRWLFH/ZDQCEH -RHWZLFH-6VGDURDWKH
WKLO\ 1HEUDVNDWZDKQWHOWRSHDUQH\ WKEH /LQFROQ RIILFI
\$VVRELDWIRG3EHUWRI WKH %RDUG RI 5HJHQVW DQG WKH
RI 1HEUDVND 6\VVHP

'DWH6SWHPEHU